# A&L Goodbody

JANUARY 2025

# DORA QUICK LINKS:

# TECHNICAL STANDARDS AND GUIDELINES

This document provides links to the suite of finalised and draft technical standards and joint guidelines that have been published by the European Supervisory Authorities (**ESAs**) under the Digital Operational Resilience Act (**DORA**).

The document will be updated to incorporate final versions of the technical standards and guidelines that have yet to be published in the Official Journal / on the websites of the ESAs'.

DORA and the finalised technical standards and guidelines apply from **17 January 2025**.



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#### TECHNICAL STANDARDS

#### **Finalised technical standards**

Following adoption by the European Commission, three sets of regulatory technical standards (**RTS**) and one set of implementing technical standards (**ITS**) were published in the Official Journal on 25 June 2024 and 2 December 2024 in the form of Commission Delegated Regulations and a Commission Implementing Regulation. The RTS and ITS can be can be accessed at the following links.

- RTS on ICT risk management framework and simplified ICT risk management framework
- RTS to specify the policy on ICT services supporting critical or important functions provided by third party service providers
- RTS for the classification of ICT related incidents and cyber threats
- ITS specifying standard templates for the register of information on contractual arrangements

#### Final draft technical standards

On 17 July and 26 July 2024, the ESAs published final drafts of the remaining RTS and ITS. The final draft RTS and ITS can be can be accessed at the following links.

- Final draft RTS and ITS on reporting major ICT related incidents and notifying significant cyber threats
- Final draft RTS on harmonisation of conditions enabling the conduct of oversight activities
- Final draft RTS on subcontracting ICT services supporting critical or important functions
- Final draft RTS on threat-led penetration testing
- Final draft RTS on the criteria for determining the composition of the joint examination team

#### **NEXT STEPS**

The final draft RTS and ITS are subject to review and adoption by the European Commission, following which they will be finalised and published in the Official Journal.

For further information on DORA and its impact on your firm, please contact Patrick Brandt, Partner, Ciara Brady, Senior Associate, Louise Hogan, Senior Associate, Sarah Lee, Senior Knowledge Lawyer or any member of ALG's Financial Regulation Advisory team.

#### **KEY CONTACTS**



Patrick Brandt
Partner
+353 1 649 2337
pbrandt@algoodbody.com



Ciara Brady
Senior Associate
+353 1 649 2681
cabrady@algoodbody.com



Louise Hogan
Senior Associate
+353 1 649 2961
lahogan@algoodbody.com



Sarah Lee
Senior Knowledge Lawyer
+353 1 649 2105
salee@algoodbody.com

## JOINT GUIDELINES

## Finalised joint guidelines

On 6 November 2024, the ESAs published their joint guidelines on oversight cooperation and information exchange between the ESAs and competent authorities, which can be accessed at the following link.

 Joint guidelines on oversight cooperation and information exchange between the ESAs and competent authorities

## Draft joint guidelines

On 17 July 2024, the ESAs published draft joint guidelines on oversight cooperation and information exchange between the ESAs and competent authorities, which can be accessed at the following link.

 Final draft guidelines on oversight cooperation and information exchange between the ESAs and competent authorities

#### **NEXT STEPS**

The draft joint guidelines have been adopted by the Boards of Supervisors of the ESAs. Once finalised, they will be translated into the official languages of the European Union and published on the websites of the ESAs.

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